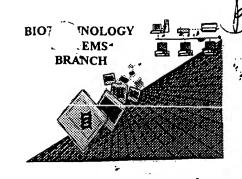
# BEST AVAILABLE COPY

# RAW SEQUENCE LISTING ERROR REPORT



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:	09/787986
Source:	PCTO9
Date Processed by STIC:	9/13/2001

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS. PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,

2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN 2.1 e-mail help: <a href="mailto:patin21help@uspto.gov">patin21help@uspto.gov</a> or phone 703-306-4119 (R. Wax)

PATENTIN 3.0 e-mail help: <a href="mailto:patin3help@uspto.gov">patin3help@uspto.gov</a> or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION 3.0 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:

## **Checker Version 3.0**

The Checker Version 3.0 application is a state-of the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST 25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be down loaded from the USPTO website at the following address: http://www.uspto.gov/web/offices/pac/checker

### Raw Sequence Listing Error Summary

ERROR DETECTED	SUGGESTED CORRECTION SERIAL NUMBER: 09/797979	
ATTN: NEW RULES CASI	es: Please disregard english "alpha" headers, which were inserted by Pto Software	
Wrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."	
2Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.	
3Misaligned Amino Numbering	The numbering under each 5th amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.	
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.	
5Variable Length	Sequence(s) contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.	
6PatentIn 2.0 "bug"	A "bug" in Patentin version 2.0 has caused the <220><223> section to be missing from amino acid sequences(s)	
7Skipped Sequences (OLD RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence:  (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)  (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  This sequence is intentionally skipped	
,	Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to Include the skipped sequences.	
8Skipped Sequences (NEW RULES)	Sequence(s) missing. If Intentional, please insert the following lines for each skipped sequence.  <210> sequence id number  <400> sequence id number	
	000	
Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing.  Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.  In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.	
0Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence	
lUse of <220>.	Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses.  Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section.  (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)	
2PatentIn 2.0 "bug"	Please do not use "Copy to Disk" function of Patentin version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.	
3Misuse of n	n can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide.	

AMC/MH - Biotechnology Systems Branch - 08/21/2001

#### PCT09

DATE: 09/13/2001

TIME: 17:28:24

```
Input Set : A:\SEQUENCE.asc
                           Output Set: N:\CRF3\09132001\1787986.raw
        4 <110> APPLICANT: Lewis, Richard J.
                  Alewood, Paul F.
                  Sharpe, Iain A.
        6
                                                                                       Does Not Comply
        8 <120> TITLE OF INVENTION: NOVEL PEPTIDES
                                                                                 Corrected Diskette Needed
      10 <130> FILE REFERENCE: Davies Collison Cave
      12 <140> CURRENT APPLICATION NUMBER: 09/787,986
      13 <141> CURRENT FILING DATE: 1999-10-01
      15 <160> NUMBER OF SEQ ID NOS: 9
      17 <170> SOFTWARE: PatentIn Ver. 2.1
      19 <210> SEQ ID NO: 1
      20 <211> LENGTH: 13
      21 <212> TYPE: PRT
      22 <213> ORGANISM: Conus marmoreus
      24 <220> FEATURE:
      25 <221> NAME/KEY: PEPTIDE
      26 <222> LOCATION: (12)
      27 <223> OTHER INFORMATION: Xaa at position 12 is 4Hyp.
      29 <400> SEQUENCE: 1
W--> 30 Asn Gly Val Cys Cys Gly Tyr Lys Leu Cys His Xad Cys
      34 <210> SEQ ID NO: 2
      35 <211> LENGTH: 13
      36 <212> TYPE: PRT
      37 <213> ORGANISM: Conus marmoreus
      39 <220> FEATURE:
      40 <221> NAME/KEY: PEPTIDE
      41 <222> LOCATION: (12)
      42 <223> OTHER INFORMATION: Xaa at position 12 is 4Hyp
      44 <400> SEQUENCE: 2
W--> 45 Val Gly Val Cys Cys Gly Tyr Lys Leu Cys His√Xa|a Cys
      46 1
      49 <210> SEQ ID NO: 3
      50 <211> LENGTH: 13
     52 <213> ORGANISM: Conus marmoreus
54 <400> SEQUENCE: 3
55 Asn Gly Val Cys Cys Gly Tyr Lys Leu Cys His Pro Cys
56 1
59 <210> SEQ ID NO: 4
60 <211> LENGTH: 20
61 <212> TYPE: DNA
62 <213> ORGANISM: Artificial Sequence
64 <220> FEATURE:
65 <223> OTHER INFORMATION: Description of Artificial Sequence:
66 Oligonucleotide probe
68 <400> SEQUENCE: 4
69 canagartarc anaryttrta

221 at Umsurl, 222 at specific location, and 223 by possible base value.
 --> 69 canggrtgrc anaryttrta
```

RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/787,986

RAW SEQUENCE LISTING DATE: 09/13/2001 PATENT APPLICATION: US/09/787.986 TIME: 17:28:24

Input Set : A:\SEQUENCE.asc

Output Set: N:\CRF3\09132001\1787986.raw

```
73 <210> SEQ ID NO: 5
74 <211> LENGTH: 27
75 <212> TYPE: DNA
76 <213> ORGANISM: Artificial Sequence
78 <220> FEATURE:
79 <223> OTHER INFORMATION: Description of Artificial Sequence:
         Oligonucleotide probe
82 <400> SEQUENCE: 5
                                                                       27
83 ccatcctaat acgactcact atagggc
87 <210> SEQ ID NO: 6
88 <211> LENGTH: 23
89 <212> TYPE: DNA
90 <213> ORGANISM: Artificial Sequence
92 <220> FEATURE:
93 <223> OTHER INFORMATION: Description of Artificial Sequence:
         Oligonucleotide probe
96 <400> SEQUENCE: 6
97 acaggcagaa tgcgctgtct ccc
                                                                       23
101 <210> SEQ ID NO: 7
102 <211> LENGTH: 28
103 <212> TYPE: DNA
104 <213> ORGANISM: Artificial Sequence
106 <220> FEATURE:
107 <223> OTHER INFORMATION: Description of Artificial Sequence:
          Oligonucleotide probe /
110 <400> SEQUENCE: 7
111 aactggaaga attcgcggcc gcaggaat
                                                                       28
115 <210> SEQ ID NO: 8
116 <211> LENGTH: 186
117 <212> TYPE: DNA
118 <213> ORGANISM: Conus marmoreus
120 <400> SEQUENCE: 8
121 atgcgctgtc tcccagtctt gatcattctt ctgctgctga ctgcatctgc acctggcgtt 60
123 gttqtcctac cqaaqaccqa agatqatqtq cccatqtcat ctqtctactq taatqqaaaq 120
125 agtatcctac gaggaattct gaggaacggt gtgtgctgtg gctataagtt gtgccatcca 180
127 tgttaa
131 <210> SEQ ID NO: 9
132 <211> LENGTH: 61
133 <212> TYPE: PRT
134 <213> ORGANISM: Conus marmoreus
136 <400> SEQUENCE: 9
137 Met Arg Cys Leu Pro Val Leu Ile Ile Leu Leu Leu Leu Thr Ala Ser
138
                                         10
                                                              15
     1
140 Ala Pro Gly Val Val Leu Pro Lys Thr Glu Asp Asp Val Pro Met
143 Ser Ser Val Tyr Cys Asn Gly Lys Ser Ile Leu Arg Gly Ile Leu Arg
146 Asn Gly Val Cys Cys Gly Tyr Lys Leu Cys His Pro Cys
```

VERIFICATION SUMMARY

DATE: 09/13/2001

PATENT APPLICATION: US/09/767,905

TIME: 17:28:26

Input Set : A:\SEQUENCE.asc

Output Set: N:\CRF3\09132001\I787986.raw

L:30 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:1 L:45 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:2

L:69 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:4 L:69 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:4 L:69 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4